Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	09/851,072			
Filing Date	May 8, 2001			
First Named Inventor	Jingen Zhang, et al.			
Group Art Unit	1751			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	393042			

				U.S. PATENT DOCUM	MENTS	
Examiner Cite Number K	U.S. Patent Do		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant	
	I NUMBER	d Code ² nawn)	of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
Id		3487020		Peeler, et al.	12-30-1969	
VA	<u> </u>	3941709		Herber, et al.	03-02-1976	
12		3976585		Herber, et al.	08-24-1976	
	112					
		102				KFO
API	0 9 2	07 5				CEIL
足		4.				Apo -/V
E.		E)				77775
47	ADEMA		ļ.——			7002
<u> </u>						1077
						170
			<u> </u>			
 						
	-					
4,			 		 -	
			-			
						
			 			
	L	L	_1	<u> </u>		

				FOREIG	N PATENT DOCL	MENTS_		
Examiner Initials*	Cite No.1	For Office ³	eign Patent Doc Number ⁴	ument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T _e
YR		EP	0717098	A2		06-19-1996		
Pall		WO	0022071	A1	·	04-20-2000		
			_					
			!	1				

	: .			
Examiner Signature	William!	Date Considered	6/10/04	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number, ² See attached Kinds of U.S. Patent Documents, ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO Complete if Known 09/851,072 **Application Number** INFORMATION DISCLOSURE Filing Date May 8, 2001 STATEMENT BY APPLICANT First Named Inventor Jingen Zhang, et al. **Group Art Unit** 1751 (use as many sheets as necessary) **Examiner Name** Not Yet Assigned of 2 393042 Sheet **Attorney Docket Number**

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
1	PE	JOHNSON, CARL R. and DUTRA, GERARD A.: "Reactions of Lithium Diorganocuprates (I) with Tosylates. I. Synthetic Apsects" JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 95, no. 23, 1973, pages 7777- 7782, XP002182749, November 14, 1973	
Dog o	9 2002	CHINI, MARCO, et al.: "Regiochemical Control of the Ring-Opening of 1, 2-Epoxides by Means of Chelating Process. 2. 'Syntesis and Reactions of the cis - and trans Oxides of 4- [(Benzyloxy) methyl] cyclohexene, 3-cyclohexenemethanol, and Methyl 3-cyclohexenecarboxylate" JOURNAL OF ORGANIC CHEMISTRY, vol 57, no. 5, 1992, pages 1405-1412, XP002182750	
A TRAD	MRKGE		
		MECEN	
		TECE/V APR 1 5 2002 TC 1	の
		C 170	0_
Examiner Signature	M	Date Considered (28/04	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Sheet 1 of 1 Atty Docket Number Serial Number PTO 1449 (Modified) 09/851,072 37 CFR 1.97 393042 INFORMATION DISCLOSURE STATEMENT Applicant Jingen Zhang, et al. Group Art Unit Filing Date (Use several sheets if necessary) May 8, 2001 1751 **U.S. PATENT DOCUMENTS** ISSUE DATE FILING DATE IF APPROPRIATE XAMINER CLASS SUBCLASS DOCUMENT NUMBER NAME 5 4 6 4 5 5 1 11/1995 Deetman 7 6 2 0 6 4 02/1978 Herber, et al. 9 7 0 8 03/1976 Gentit, et al. 4 3 2 0 03/1973 3 7 2 3 Herber, et al. FOREIGN PATENT DOCUMENTS **EXAMINER** SUBCLASS INITIAL DOCUMENT NUMBER DATE NAME CLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **DATE CONSIDERED EXAMINER**

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents, Washington, DC 20231.